



PATENT

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare: THAT my residence, post office address and citizenship are as stated below next to my name; THAT I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD AND SYSTEM FOR EMBEDDED, AUTOMATED COMPONENT-LEVEL CONTROL OF COMPUTER SYSTEMS, the specification of which:

[] is attached hereto.
[X] was filed on September 29, 1998 as Application Serial No. 09/163094 and was amended on _____ (if applicable).
[] and amended in the attached Amendment.

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I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s): NONE

PRIOR FOREIGN APPLICATION(S)

(Number) (Country) (Day/Month/Year filed)

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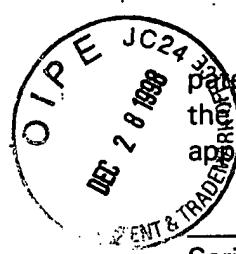
Priority Claimed

<input type="checkbox"/>	<input type="checkbox"/>
Yes	No
<input type="checkbox"/>	<input type="checkbox"/>
Yes	No

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application listed below: NONE

Serial No.	Filing Date	Status (patented, pending, abandoned)
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09/047,975
Serial No.

March 25, 1998
Filing Date

Pending
Status (patented, pending, abandoned)

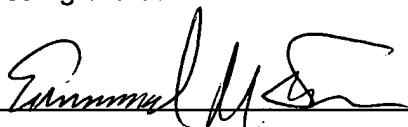
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Please direct all telephone calls to Robert W. Bergstrom at 206-340-1825.

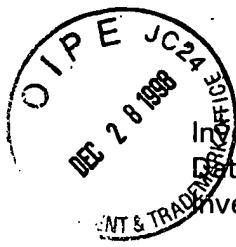
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Inventor's Signature: 
Date: _____
Inventor's Name (Typed): Edward G. Sherman
First Middle Initial Family Name
Citizenship: U.S.
Residence (City): 4 Cannon Lane, London NW3 1EL
(State/Foreign Country): United Kingdom
Post Office Address: (same as above)

Inventor's Signature: _____
Date: _____
Inventor's Name (Typed): Mark P. Sherman
First Middle Initial Family Name
Citizenship: U.S.
Residence (City): 10026 - 48th Avenue NE, Seattle, WA 98125
(State/Foreign Country): United States of America
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Inventor's Name (Typed): George M. Reed
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Citizenship: U.S.
Residence (City): 12371 Saratoga Creek Drive, Saratoga, CA 95070
(State/Foreign Country): United States of America
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Date: _____

Inventor's Name (Typed): Larry (NMI) Saunders
First Middle Initial Family Name
Citizenship: U.S.
Residence (City): 9138 Rowlett Avenue, San Diego, CA 92129
(State/Foreign Country): United States of America
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Inventor's Name (Typed): Wayne (NMI) Goldman
First Middle Initial Family Name
Citizenship: U.S.
Residence (City): Sausalito, CA 94966
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Post Office Address: P.O. Box 623, Sausalito, CA 94966

Inventor's Signature: _____

Date: _____

Inventor's Name (Typed): Simon (NMI) Whittie
First Middle Initial Family Name
Citizenship: Australia
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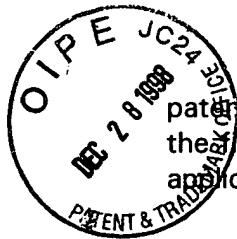
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Inventor's Signature: Mark P. Sherman

Date: November 23, 1998

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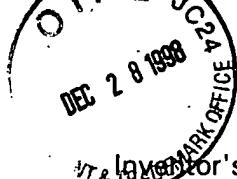
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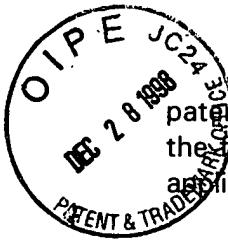
Prior Foreign Application(s): NONE

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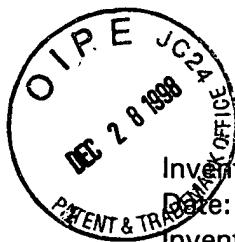
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Date: _____

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Citizenship: U.S.

Residence (City): 4 Cannon Lane, London NW3 1EL

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Post Office Address: (same as above)

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Inventor's Signature: *Larry Saunders*

Date: 11/25/98

Inventor's Name (Typed): Larry (NMI) Saunders

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Citizenship: U.S.

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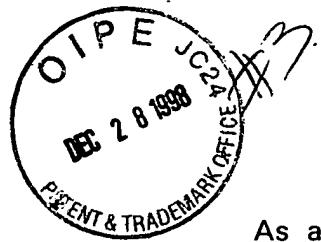
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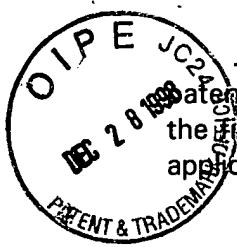
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Residence (City): 2 Brereton Street, Gladesville, NSW 2111
(State/Foreign Country): Australia
Post Office Address: (same as above)



PATENT

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare: THAT my residence, post office address and citizenship are as stated below next to my name; THAT I verily believe I am the original, first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD AND SYSTEM FOR EMBEDDED, AUTOMATED COMPONENT-LEVEL CONTROL OF COMPUTER SYSTEMS, the specification of which:

[] is attached hereto.
[X] was filed on September 29, 1998 as Application Serial No. 09/163094 and was amended on _____ (if applicable).
[] and amended in the attached Amendment.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s): NONE

PRIOR FOREIGN APPLICATION(S)

(Number) (Country) (Day/Month/Year filed)

Priority Claimed

<input type="checkbox"/>	<input type="checkbox"/>
Yes	No
<input type="checkbox"/>	<input type="checkbox"/>
Yes	No

(Number) (Country) (Day/Month/Year filed)

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application listed below: NONE

Serial No.

Filing Date

Status (patented, pending, abandoned)

I hereby claim the benefit under 35 U.S.C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to



patentability as defined in Title 37 C.F.R., Section 1.56, which became available between the filing date of the prior application and the national or PCT International filing date of this application.

09/047,975 March 25, 1998 Pending
Serial No. Filing Date Status (patented, pending, abandoned)

And I hereby appoint as my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent: **Robert W. Bergstrom, Reg. No. 39,906** of Weiss, Jensen, Ellis & Howard, located at 2600 Pike Tower, 520 Pike Street, Seattle, WA 98101.

Please direct all telephone calls to Robert W. Bergstrom at 206-340-1825.

Please address all correspondence to:

Robert W. Bergstrom
WEISS JENSEN ELLIS & HOWARD, P.C.
520 Pike Street, Suite 2600
Seattle, WA 98101

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: _____

Date: _____

Inventor's Name (Typed): Edward G. Sherman

First Middle Initial Family Name

Citizenship: U.S.

Residence (City): 4 Cannon Lane, London NW3 1EL

(State/Foreign Country): United Kingdom

Post Office Address: (same as above)

Inventor's Signature: _____

Date: _____

Inventor's Name (Typed): Mark P. Sherman

First Middle Initial Family Name

Citizenship: U.S.

Residence (City): 10026 - 48th Avenue NE, Seattle, WA 98125

(State/Foreign Country): United States of America

Post Office Address: (same as above)



Inventor's Signature: _____
Date: _____
Inventor's Name (Typed): George M. Reed
First Middle Initial Family Name
Citizenship: U.S.
Residence (City): 12371 Saratoga Creek Drive, Saratoga, CA 95070
(State/Foreign Country): United States of America
Post Office Address: (same as above)

Inventor's Signature: _____
Date: _____
Inventor's Name (Typed): Larry (NMI) Saunders
First Middle Initial Family Name
Citizenship: U.S.
Residence (City): 9138 Rowlett Avenue, San Diego, CA 92129
(State/Foreign Country): United States of America
Post Office Address: (same as above)

Inventor's Signature: _____
Date: _____
Inventor's Name (Typed): Wayne (NMI) Goldman
First Middle Initial Family Name
Citizenship: U.S.
Residence (City): Sausalito, CA 94966
(State/Foreign Country): United States of America
Post Office Address: P.O. Box 623, Sausalito, CA 94966

Inventor's Signature: _____ 
Date: 15/12/98
Inventor's Name (Typed): Simon (NMI) Whittle WHITTLE
First Middle Initial Family Name
Citizenship: Australia
Residence (City): 2 Brereton Street, Gladesville, NSW 2111
(State/Foreign Country): Australia
Post Office Address: (same as above)



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

: Edward G. Sherman; Mark P. Sherman; George M. Reed; Larry
Saunders; Wayne Goldman; Simon Whitie

Application No.

: 09/163,094

Filed

: September 29, 1998

For

: METHOD AND SYSTEM FOR EMBEDDED, AUTOMATED
COMPONENT-LEVEL CONTROL OF COMPUTER SYSTEMS
AND OTHER COMPLEX SYSTEMS

Docket No. : 77000.002C

Date : December 20, 1998

Box Missing Parts

Assistant Commissioner for Patents

Washington, DC 20231

GENERAL AUTHORIZATION UNDER 37 C.F.R. § 1.136(a)(3)

Sir:

With respect to the above-identified application, the Assistant Commissioner is authorized to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. § 1.136(a)(3) for its timely submission as incorporating a petition therefor for the appropriate length of time. The Assistant Commissioner is also authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 23-0828.

Respectfully submitted,

Edward G. Sherman et al.

SEED and BERRY LLP

Robert W. Bergstrom

Registration No. 39,906

Weiss Jensen Ellis & Howard
2600 Pike Tower
520 Pike Street
Seattle, Washington 98101
206-340-1825 telephone
206-623-4363 fax



#4

PATENT

APPLICANTS: Edward G. Sherman, Mark P. Sherman, George M. Reed, Larry Saunders, Wayne Goldman, Simon Whittie

SERIAL NO.: 09/163,094

Docket No.: 77000.002CIP

FILED: September 29, 1998

TITLE: METHOD AND SYSTEM FOR EMBEDDED, AUTOMATED COMPONENT-LEVEL CONTROL OF COMPUTER SYSTEMS AND OTHER COMPLEX SYSTEMS

**VERIFIED STATEMENT (DECLARATION) CLAIMING
SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(c))
SMALL BUSINESS CONCERN**

I hereby declare that I am:

the owner of the small business concern identified below.

an official of the small business concern empowered to act on behalf of the concern identified below.

NAME OF CONCERN: SoftVault Systems, Inc.

ADDRESS OF CONCERN: 10026 - 48th Avenue N.E., Seattle, WA 98125

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled:

**METHOD AND SYSTEM FOR EMBEDDED, AUTOMATED COMPONENT-LEVEL
CONTROL OF COMPUTER SYSTEMS AND OTHER COMPLEX SYSTEMS**

by inventors: Edward G. Sherman, Mark P. Sherman, George M. Reed, Larry Saunders, Wayne Goldman, Simon Whittie

described in:

the specification filed herewith
 application Serial No. 09/163,094, filed **September 29, 1998**
 patent no. _____, issued _____

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME: _____

ADDRESS: _____

Individual Small Business Concern Nonprofit Organization

NAME: _____

ADDRESS: _____

Individual Small Business Concern Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein are of my own knowledge, are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: George M. Reed

TITLE OF PERSON OTHER THAN OWNER: President

ADDRESS OF PERSON SIGNING: SoftVault Systems, Inc.

10026 - 48th Avenue N.E.

Seattle, WA 98125

SIGNATURE:  DATE: 11/25/98